

IPW

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Jim B. Surjaatmadja, et al

Group No.

Application No.: 010 /783,207

Examiner:

Filed: 02/20 /2004

For: Methods of Cleaning and Cutting Using Jetted Fluids

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

STATEMENT FOR INFORMATION DISCLOSURE UNDER  
37 C.F.R. § 1.97(e)

NOTE: A statement must state either: "(1) that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement, or (2) that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement." 37 C.F.R. § 1.97(e).

Under the first statement under § 1.97(e), it does not matter whether any individual with a duty of disclosure actually knew about any of the information cited before receiving the search report. The date on the communication by the foreign patent office begins the 3-month period in the same manner as the mailing of an Office action starts a 3-month shortened statutory period for reply. See § 609B(5), M.P.E.P., 8th Edition.

## CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\*

(When using Express Mail, the Express Mail label number is mandatory;  
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

## MAILING

☒ deposited with the United States Postal Service in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

37 C.F.R. § 1.8(a)

37 C.F.R. § 1.10 \*

☒ with sufficient postage as first class mail.

☐ as "Express Mail Post Office to Addressee"

Mailing Label No. \_\_\_\_\_ (mandatory)

## TRANSMISSION

☐ facsimile transmitted to the Patent and Trademark Office, (703) \_\_\_\_\_

Date: 12/2/04

Signature

Tammy Knight

(type or print name of person certifying)

\* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

NOTE: "Section 1.97(e) makes it clear that a certification could contain either of two statements. One statement is that each item of information in an information disclosure statement was cited in a search report from a patent office outside the U.S. not more than three months prior to the filing date of the statement. Under this certification, it would not matter whether any individual with a duty actually knew about any of the information cited before receiving the search report. In the alternative, the certification could state that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual having a duty to disclose more than three months prior to the filing of the statement." Notice of January 9, 1992, 1135 O.G. 13-25, at 13. (emphasis added). Thus: "If an item of information is submitted within three months of being cited in a communication from a foreign patent office in a counterpart foreign application, the certification can be properly made regardless of any individual's previous knowledge of the information." *Id.*, 1135 O.G. at 19. See § 609B(5), M.P.E.P., 8th Edition.

NOTE: "The date on the communication by the foreign patent office begins the 3-month period in the same manner as the mailing of an Office action starts a 3-month shortened statutory period for reply. If the communication contains two dates, the mailing date of the communication is the one which begins the 3-month period. The date which begins the 3-month period is not the date the communication was received by a foreign associate or the date it was received by a U.S. registered practitioner. Likewise, the statement will be considered to have been filed on the date the statement was received in the Office, or on an earlier date of mailing or transmission if accompanied by a properly executed certificate of mailing or facsimile transmission under 37 CFR 1.8, or if it is in compliance with the provisions for "Express Mail" delivery under 37 C.F.R. 1.10." See § 609B(5), M.P.E.P., 8th Edition.

NOTE: "The certification can be based on present, good faith knowledge about when information became known without a search of files being made." Thus, for example, the certification of § 1.97(e) does not preclude the use of the certification in an application by corporations whose practitioners have over the years reviewed thousands of patents and technical publications, even though they are unaware of the relevance of any one thereof to the application. Notice of January 9, 1992, 1135 O.G. 13-15, at 19.

See § 609B(5), M.P.E.P., 8th Edition:

"If an information disclosure statement includes a copy of a dated communication from a foreign patent office which clearly shows that the statement is being submitted within 3 months of the date on the communication, the copy will be accepted as the required communication. It will be assumed, in the absence of evidence to the contrary, that the communication was for a counterpart foreign application.

"In the alternative, a statement can be made if no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the statement after making reasonable inquiry, neither was it known to any individual having a duty to disclose more than 3 months prior to the filing of the statement."

NOTE: A copy of the foreign search report need not be submitted with the certification. Notice of April 20, 1992 (1138 O.G. 37-41, 40).

NOTE: "The phrase 'after making reasonable inquiry' makes it clear that the individual making the statement has a duty to make reasonable inquiry regarding the facts that are being certified. The statement can be made by a registered practitioner who represents a foreign client and who relies on statements made by the foreign client as to the date the information first became known. A registered practitioner who receives information from a client without being informed whether the information was known for more than three months, however, cannot make the statement without making reasonable inquiry. For example, if an inventor gave a publication to the attorney prosecuting an application with the intent that it be cited to the Office, the attorney should inquire as to when that inventor became aware of the publication and should not submit a statement under 37 C.F.R. 1.97(e)(2) to the Office until a satisfactory response is received. The statement can be based on present, good faith knowledge about when information became known without a search of files being made." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

See § 609B(5), M.P.E.P., 8th Edition.

NOTE: "Although it is recognized that an individual actually becomes aware of the information in the communication from a foreign patent office sometime after it was mailed, the mailing date of such a communication, if it occurs prior to a first awareness of the same information, would determine the date for filing of an information disclosure statement without a fee" in a certification procedure under § 1.97(e). Notice of January 9, 1992, 1135 O.G. 13-25, at 19 (emphasis added).

- NOTE:** *The mere absence of an item of information from a foreign patent office communication is not intended to represent an opportunity to delay the submission of a item known more than three months prior to the filing of an information disclosure statement to an individual having the duty of disclosure under § 1.56. 62 Fed. Reg. 53,131, 53,150 (Oct. 10, 1997).*
- NOTE:** *The IDS is considered filed as of the date it is received in the PTO, or on an earlier date of mailing or transmission if done so with a properly executed certificate of mailing or facsimile transmission under 37 C.F.R. § 1.8, or if it is in compliance with the provisions for "Express Mail" delivery under 37 C.F.R. § 1.10. See § 609B(5), M.P.E.P., 8th Edition.*
- NOTE:** *"The certification under § 1.97(e) should be made by a person who has knowledge of the facts being certified. The certification can be made by a practitioner who represents a foreign client and who relies on statements made by the foreign client as to the date the information first became known. A practitioner who receives information from a client without being informed whether the information was known for more than three months, however, cannot make the certification without making reasonable inquiry." Notice of January 9, 1992, 1135 O.G. 13-25 at 19.*
- NOTE:** *"The term counterpart foreign patent application means that a claim for priority has been made in either the U.S. application or a foreign application based on the other, or that the disclosures of the U.S. and foreign patent applications are substantively identical (e.g., an application filed in the European Patent Office claiming the same U.K. priority as claimed in the U.S. application)." Notice of April 20, 1992 (1138 O.G. 37-41, 39). See § 609B(5), M.P.E.P., 8th Edition.*
- NOTE:** *"Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent or inventor." 37 C.F.R. § 1.56(d) and*  
*"Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:*  
     (1) *each inventor named in the application;*  
     (2) *each attorney or agent who prepares or prosecutes the application; and*  
     (3) *every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application." 37 C.F.R. § 1.56(c).*

## **IDENTIFICATION OF INFORMATION DISCLOSURE STATEMENT FOR WHICH THIS STATEMENT IS BEING MADE**

1. This statement is being made for the Information Disclosure Statement
- ☒ accompanying this statement.
- ☐ filed \_\_\_\_\_ (date)

### **STATEMENT**

- NOTE:** *A statement under 37 C.F.R. § 1.97(e) need not be in the form of an oath or a declaration under 37 C.F.R. § 1.68. A statement under 37 C.F.R. § 1.97(e) by a registered practitioner or any other individual that the statement was filed within the 3-month period of either the first citation by a foreign patent office or first discovery of the information will be accepted as dispositive of compliance with this provision in the absence of evidence to the contrary. § 609B(5), M.P.E.P., 8th Edition.*

2. I, the person(s) signing below state:

- ☒ that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. 37 C.F.R. § 1.97(e)(1).

NOTE: The three month period starts from the mailing date of the foreign patent office communication. Notice of January 9, 1992, 1135 O.G. 13-25 at 19. The mailing date is the "date on the communication by the foreign patent office." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

**OR**

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge, after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement. 37 C.F.R. § 1.97(e)(2).

NOTE: "The time at which information 'was known to any individual designated in 37 C.F.R. 1.56(c)' is the time when the information was discovered in association with the application even if awareness of the materiality came later." Notice of April 20, 1992 (1138 O.G. 37-41, 40). Section 609B(5), M.P.E.P., 8th Edition.

**IDENTIFICATION OF PERSON(S) MAKING THIS STATEMENT**

3. The person making this statement is

*(check each applicable item)*

- (a) ☐ the inventor(s) who signs below

\_\_\_\_\_  
**SIGNATURE OF INVENTOR**

\_\_\_\_\_  
*(type name of inventor who is signing)*

- (b) ☐ a person who is substantively involved in the preparation or prosecution of the application, and who is associated with the inventor, with the assignee, or with anyone to whom there is an obligation to assign the application (37 C.F.R. § 1.56(c)) and who signs below.

\_\_\_\_\_  
**SIGNATURE OF PERSON MAKING STATEMENT**

\_\_\_\_\_  
*(type name of person who is signing)*

\_\_\_\_\_  
Address of person who is signing

(c) ☒ the practitioner who signs below on the basis of the information:

*(check each applicable item)*

- ☐ supplied by the inventor(s).
- ☐ supplied by an individual designated in § 1.56(c).
- ☒ in the practitioner's file.

Reg. No.: 28,626

Tel. No. (580)251-3125

Customer No.:



**SIGNATURE OF PRACTITIONER**

Robert A. Kent

*(type or print name of practitioner)*

P.O. Box 1431

P.O. Address

Duncan, OK 73536-0440

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Jim B. Surjaatmadja, et al

Application No: 010/783,207

Group No.:

Filed: 02/20/2004

Examiner:

For: Methods of Cleaning and Cutting Using Jetted Fluids

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
BEFORE MAILING DATE OF EITHER A FINAL ACTION  
OR NOTICE OF ALLOWANCE (37 C.F.R. § 1.97(c))**

**NOTE:** 37 C.F.R. 1.97: "(c) An information disclosure statement shall be considered by the Office if filed after the period specified in paragraph (b) of this section, provided that the information disclosure statement is filed before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, and it is accompanied by one of:

- (1) The statement specified in paragraph (e) of this section; or
- (2) The fee set forth in § 1.17(p)."

**NOTE:** "If a final action or notice of allowance is mailed in an application and later withdrawn, the application will be considered as not having had a final action or notice of allowance mailed for purposes of considering an information disclosure statement." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

**CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\***

(When using Express Mail, the Express Mail label number is mandatory;  
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

**MAILING**

☒ deposited with the United States Postal Service in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

37 C.F.R. § 1.8(a)

37 C.F.R. § 1.10 \*

☒ with sufficient postage as first class mail.

☐ as "Express Mail Post Office to Addressee"

Mailing Label No. \_\_\_\_\_ (mandatory)

**TRANSMISSION**

☐ facsimile transmitted to the Patent and Trademark Office, (703) \_\_\_\_\_

Date: 12/2/04

Signature

Tammy Knight

(type or print name of person certifying)

\* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.8(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

(Transmittal of Information Disclosure Statement before Mailing Date of Either a Final Action Or Notice of Allowance [8-4]—page 1 of 3)

**NOTE:** 37 C.F.R. § 1.704(d): "A paper containing only an information disclosure statement in compliance with §§ 1.97 and 1.98 will not be considered a failure to engage in reasonable efforts to conclude prosecution (processing or examination) of the application under paragraphs (c)(8), (c)(9), or (c)(10) of this section if it is accompanied by a statement that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement. This thirty-day period is not extendable."

**NOTE:** "If information submitted during the period set forth in 37 C.F.R. 1.97(c) with a certification is used in a new ground of rejection on unamended claims, the next Office action will not be made final since in this situation it is clear that applicant has submitted the information to the office promptly after it has become known and the information is being submitted prior to a final determination on patentability by the Office. However, the information submitted with a certification can be used in a new ground of rejection and the next Office action made final, if the new ground of rejection was necessitated by amendment of the application by applicant. Where the information is submitted during this period with a fee, the examiner may use the information submitted, e.g., printed publication or evidence of public use, and make the next Office action final whether or not the claims have been amended, provided that no other new ground of rejection which was not necessitated by amendment to the claims is introduced by the examiner. See MPEP 708.07(a). If a new ground of rejection is introduced that is neither necessitated by an amendment to the claims nor based on the information submitted with the fee set forth in 37 C.F.R. § 1.17(p), the Office action shall not be made final." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

**WARNING:** "A petition for suspension of action to allow applicant time to submit an information disclosure statement will be denied as failing to present good and sufficient reasons, since 37 C.F.R. § 1.97 provides adequate recourse for the timely submission of prior art for consideration by the examiner." Notice of July 8, 1992 (1141 O.G. 63). But see § 103(b) and (c), limited suspension of action in a continued prosecution application (CPA) filed under § 1.53(d) and in a request for continued examination (RCE) under § 1.114.

**WARNING:** No extension of time can be had under 37 C.F.R. 1.136 (a) or (b) for filing an IDS. 37 C.F.R. 1.97(f).

### **TIME OF TRANSMITTAL OF ACCOMPANYING INFORMATION DISCLOSURE STATEMENT**

1. The information disclosure statement transmitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first Office action on the merits, whichever event occurred last but *before* the mailing date of either:
  - (1) a final action under § 1.113 or
  - (2) a notice of allowance under § 1.311,whichever occurs first.

### **STATEMENT OR FEE**

2. Accompanying this transmittal is

(check either A or B below)

A. ☒ a statement as specified in 37 C.F.R. § 1.97(e).

**OR**

B. ☐ the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under § 1.97(c). (\$180.00).

(Transmittal of Information Disclosure Statement before Mailing Date of Either a Final Action Or Notice of Allowance. [8-4]—page 2 of 3)

## FEE PAYMENT

(complete this item, if applicable)

3. Applicant elects the option to pay the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under § 1.97(c) (\$180.00).

Fee due \$ \_\_\_\_\_

## METHOD OF PAYMENT OF FEE

4.

- ☐ Attached is a ☐ check ☐ money order in the amount of \$ \_\_\_\_\_
- ☐ Authorization is hereby made to charge the amount of \$ \_\_\_\_\_
- ☐ to Deposit Account No. \_\_\_\_\_
- ☐ to Credit card as shown on the attached credit card information authorization form PTO-2038.

**WARNING:** Credit card information should not be included on this form as it may become public.

- ☐ Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this paper is attached.

Reg. No. 28,626

Tel. No.: (580)251-3125

Customer No.:



SIGNATURE OF PRACTITIONER

Robert A. Kent

(type or print name of practitioner)

P.O. Box 1431

P.O. Address

Duncan, OK 73536-0440





PATENT 2003-IP-012367

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Jim B. Surjaatmadja,	)	
	Et al	)	Art Unit: Unknown
		)	
Serial No.:	10/783,207	)	
		)	
Filed:	02/20/2004	)	Examiner: Unknown
		)	
For:	Methods of Cleaning and	)	
	Cutting Using Jetted	)	
	Fluids	)	

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

COMMISSIONER FOR PATENTS  
Alexandria, VA 22313-1450

SIR:

The following documents are known to Applicants or Applicants' attorneys and are submitted for the Examiner to consider in the above-captioned application.

**U. S. PATENTS**

U.S. Patent Number 2,703,316 issued 03/01/55 to Bentley J. Palmer;

U.S. Patent Number 3,912,692 issued 10/14/75 to Donald James Casey, et al;

U.S. Patent Number 3,948,672 issued 04/06/76 to Bobby G. Harnsberger;

U.S. Patent Number 3,955,993 issued 05/11/76 to Beverly A. Curtice, et al;

U.S. Patent Number 4,172,066 issued 10/23/79 to Maurice L. Zweigle, et al;

U.S. Patent Number 4,460,052 issued 07/17/84 to Judith Gockel;

U.S. Patent Number 4,498,995 issued 02/12/85 to Judith Gockel;

U.S. Patent Number 4,694,905 issued 09/22/87 to David R. Armbruster;  
U.S. Patent Number 4,715,967 issued 12/29/87 to Harold E. Bellis, et al;  
U.S. Patent Number 4,785,884 issued 11/22/88 to David R. Armbruster;  
U.S. Patent Number 4,797,262 issued 01/10/89 to Thomas S. Dewitz;  
U.S. Patent Number 4,886,354 issued 12/12/89 to Gary E. Welch, et al;  
U.S. Patent Number 4,957,165 issued 09/18/90 to Lisa A. Cantu, et al;  
U.S. Patent Number 4,986,355 issued 01/22/91 to Burton M. Casad, et al;  
U.S. Patent Number 5,216,050 issued 06/01/93 to Richard G. Sinclair;  
U.S. Patent Number 5,295,542 issued 03/22/94 to R. Clay Cole, et al;  
U.S. Patent Number 5,330,005 issued 07/19/94 to Roger J. Card, et al;  
U.S. Patent Number 5,360,068 issued 11/01/94 to Eve S. Sprunt, et al;  
U.S. Patent Number 5,363,916 issued 11/15/94 to Ronald E. Himes, et al;  
U.S. Patent Number 5,373,901 issued 12/20/94 to Lewis R. Norman, et al;  
U.S. Patent Number 5,386,874 issued 02/07/95 to Steven B. Laramay, et al;  
U.S. Patent Number 5,402,846 issued 04/04/95 to Alfred R. Jennings, Jr., et al;  
U.S. Patent Number 5,464,060 issued 11/07/95 to Arthur H. Hale, et al;  
U.S. Patent Number 5,497,830 issued 03/12/96 to Joel L. Boles, et al;  
U.S. Patent Number 5,604,186 issued 02/18/97 to Charles V. Hunt, et al;  
U.S. Patent Number 5,698,322 issued 12/16/97 to Fu-Jya Tsai, et al;  
U.S. Patent Number 5,791,415 issued 08/11/98 to Philip D. Nguyen, et al;  
U.S. Patent Number 5,833,000 issued 11/10/98 to Jim D. Weaver, et al;

U.S. Patent Number 5,853,048 issued 12/29/98 to Jim D. Weaver, et al;

U.S. Patent Number 5,893,416 issued 04/13/99 to Peter Arne Read;

U.S. Patent Number 5,908,073 issued 06/01/99 to Philip D. Nguyen, et al;

U.S. Patent Number 5,924,488 issued 07/20/99 to Philip D. Nguyen, et al;

U.S. Patent Number 5,964,291 issued 10/12/99 to Hugh M. Bourne, et al;

U.S. Patent Number 6,024,170 issued 02/15/00 to Michael A. McCabe, et al;

U.S. Patent Number 6,047,772 issued 04/11/00 to Jim D. Weaver, et al;

U.S. Patent Number 6,123,965 issued 09/26/00 to Jules S. Jacob, et al;

U.S. Patent Number 6,135,987 issued 10/24/00 to Fu-Jya Daniel Tsai, et al;

U.S. Patent Number 6,169,058 B1 issued 01/02/01 to Hoang V. Le, et al;

U.S. Patent Number 6,172,011 B1 issued 01/09/01 to Roger J. Card, et al;

U.S. Patent Number 6,202,751 B1 issued 03/20/01 to Jiten Chatterji, et al;

U.S. Patent Number 6,209,643 B1 issued 04/03/01 to Philip D. Nguyen, et al;

U.S. Patent Number 6,209,646 B1 issued 04/03/01 to Baireddy R. Reddy, et al;

U.S. Patent Number 6,214,773 B1 issued 04/10/01 to Phillip C. Harris, et al;

U.S. Patent Number 6,260,622 B1 issued 07/17/01 to Reinoud Hendrik Jurgen Blok, et al;

U.S. Patent Number 6,311,773 B1 issued 11/06/01 to Bradley L. Todd, et al;

U.S. Patent Number 6,323,307 B1 issued 11/27/01 to Donald M. Bigg, et al;

U.S. Patent Number 6,328,105 B1 issued 12/11/01 to Donald E. Betzold;

U.S. Patent Number 6,357,527 B1 issued 03/19/02 to Lewis R. Norman, et al;

U.S. Patent Number 6,364,945 B1 issued 04/02/02 to Jiten Chatterji, et al;  
U.S. Patent Number 6,390,195 B1 issued 05/21/02 to Philip D. Nguyen, et al;  
U.S. Patent Number 6,422,314 B1 issued 07/23/02 to Bradley L. Todd, et al;  
U.S. Patent Number 6,454,003 B1 issued 09/24/02 to Kin-Tai Chang, et al;  
U.S. Patent Number 6,485,947 B1 issued 11/26/02 to Vineet Rajgarhia, et al;  
U.S. Patent Number 6,488,763 B2 issued 12/03/02 to Lance E. Brothers, et al;  
U.S. Patent Number 6,494,263 B2 issued 12/17/02 to Bradley L. Todd;  
U.S. Patent Number 6,508,305 B1 issued 01/21/03 to Harold D. Brannon, et al;  
U.S. Patent Number 6,527,051 B1 issued 03/04/03 to Baireddy R. Reddy, et al;  
U.S. Patent Number 6,554,071 B1 issued 04/29/03 to Baireddy R. Reddy, et al;  
U.S. Patent Number 6,569,814 B1 issued 05/27/03 to Mark E. Brady, et al;  
U.S. Patent Number 6,667,279 B1 issued 12/23/03 to James E. Hessert, et al;  
U.S. Patent Number 6,681,856 B1 issued 01/27/04 to Jiten Chatterji, et al;  
U.S. Publication Number 2004/0055747 A1 published 03/25/04 by Li-Jien Lee;  
U.S. Publication Number 2004/0106525 A1 published 06/03/04 by Dean Willberg, et al;  
U.S. Publication Number 2004/0138068 A1 published 07/15/04 by Brett Rimmer, et al;  
U.S. Publication Number 2004/0152601 A1 published 08/05/04 by John W. Still, et al;  
U.S. Publication Number 2004/0152602 A1 published 08/05/04 Joel Lynn Boles.

#### **FOREIGN PATENTS**

Int'l Publication Number WO 99/27229 A1 published 06/03/99 by Allan R. Rickards, et

al;

Int'l Publication Number WO 01/87797 A1 published 11/22/01 by Samuel Danican, et al;

Int'l Publication Number WO 03/027431 A2 published 04/03/03 by Claude E. Cooke, Jr.;

Int'l Publication Number WO 03/027431 A3 published 04/03/03 by Claude E. Cooke, Jr.;

European Patent Number 0 510 762 A2 published 10/28/92 by Mark Philip Houghton, et al.

### **PAPERS/OTHER**

*Laboratory and Field Evaluation of a Combined Fluid-Loss-Control Additive and Gel Breaker for Fracturing Fluids, SPE 18211*, published 1990 Society of Petroleum Engineers by Lisa A. Cantu, et al;

*Aliphatic Polyesters: Synthesis, Properties and Applications* published 2002, Advances in Polymer Science, Volume 157, Springer-Verlag by Ann-Christine Albertsson, et al;

*Controlled Ring-Opening Polymerization of Lactide and Glycolide* published 2004 American Chemical Society, Chemical Reviews, A-Z, AA-AD, by Odile Dechy-Cabaret, et al;

*Synthetic Polymer Fracturing Fluid for High-Temperature Applications, SPE 80236*, published 2003 Society of Petroleum Engineers by Gary P. Funkhouser, et al;

*Chelating Agents*, Encyclopedia of Chemical Technology, Volume 5, 764-795;

*A New Assay for the Enzymatic Degradation of Polylactic Acid*, Short Report, published ScienceAsia 29 (2003): 297-300 by Virun Vichaibun, et al;

Patent Application Number 10/864,061 filed 06/09/04, *Aqueous Tackifier and Methods of Controlling Particulates* by Matt Blauch, et al;

Patent Application Number 10/864,618 filed 06/09/04, *Aqueous-Based Tackifier Fluids and Methods of Use* by Matt Blauch, et al.

*Openhole Horizontal Completions, HO3297*, published 2002 Halliburton Communications;

Copies of the aforementioned non-patent references and Form PTO-1449 are submitted herewith.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Robert A. Kent", is written over a circular stamp or seal.

Robert A. Kent

Registration No. 28,626

Halliburton Energy Services

P. O. Box 1431

Duncan, OK 73536-0440

580-251-3125

PTO-1449 <b>Information Disclosure Citation in an Application</b> DEC 0 6 2004 <b>Patent &amp; Trademark Office</b>	Application No. 10/783,207	Applicant(s) Jim B. Surjaatmadja, et al	
	Docket Number 2003-IP-012367U1	Group Art Unit	Filing Date 02/20/2004

### U.S. PATENT DOCUMENTS

DOCUMENT NO.	ISSUE/ PUB. DATE	NAME	CLASS	SUBCLASS	FILING DATE
2,703,316	03-01-55	Palmer	260	78.3	06-05-51
3,912,692	10-14-75	Casey <i>et al.</i>	260	78.3	09-24-74
3,948,672	04-06-76	Harnsberger	106	90	09-26-74
3,955,993	05-11-76	Curtice	106	90	09-26-74
4,172,066	10-23-79	Zweigle <i>et al.</i>	260	29.6TA	09-26-77
4,460,052	07-17-84	Gockel	175	72	08-10-81
4,498,995	02-12-85	Gockel	252	8.5LC	07-01-83
4,694,905	09-22-87	Armbruster	166	280	05-23-86
4,715,967	12-29-87	Bellis	252	8.551	12-27-85
4,785,884	11-22-88	Armbruster	166	280	01-28-88
4,797,262	01-10-89	Dewitz	422	142	06-03-87
4,886,354	12-12-89	Welch <i>et al.</i>	356	70	05-06-88
4,957,165	09-18-90	Cantu <i>et al.</i>	166	295	06-19-89
4,986,355	01-22-91	Casad, <i>et al.</i>	166	295	05-18-89
5,216,050	06-01-93	Sinclair	524	108	09-06-90
5,295,542	03-22-94	Cole, <i>et al.</i>	166	278	10-05-92
5,330,005	07-19-94	Card, <i>et al.</i>	166	280	04-05-93
5,360,068	11-01-94	Sprunt, <i>et al.</i>	166	259	04-19-93
5,363,916	11-15-94	Himes, <i>et al.</i>	166	276	06-16-93
5,373,901	12-20-94	Norman, <i>et al.</i>	166	300	07-27-93
5,386,874	02-07-95	Laramay, <i>et al.</i>	166	300	11-08-93
5,402,846	04-04-95	Jennings, Jr., <i>et al.</i>	166	259	11-15-93
5,464,060	11-07-95	Hale, <i>et al.</i>	166	293	04-12-94
5,497,830	03-12-96	Boles, <i>et al.</i>	166	300	04-06-95

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

PTO-1449  <b>Information Disclosure Citation in an Application</b>	Application No. 10/783,207	Applicant(s) Jim B. Surjaatmadja, et al	
	Docket Number 2003-IP-012367U1	Group Art Unit	Filing Date 02/20/2004

**U.S. PATENT DOCUMENTS**

	DOCUMENT NO.	ISSUE/PUB. DATE	NAME	CLASS	SUBCLAS S	FILING DATE
	5,604,186	02-18-97	Hunt, <i>et al.</i>	507	204	02-15-95
	5,698,322	12-16-97	Tsai, <i>et al.</i>	428	373	12-02-96
	5,791,415	08-11-98	Nguyen, <i>et al.</i>	166	280	03-13-97
	5,833,000	11-10-98	Weaver, <i>et al.</i>	166	276	02-18-97
	5,853,048	12-29-98	Weaver, <i>et al.</i>	166	279	04-21-98
	5,893,416	04-13-99	Read	166	304	11-28-97
	5,908,073	06-01-99	Nguyen, <i>et al.</i>	166	276	06-26-97
	5,924,488	07-20-99	Nguyen, <i>et al.</i>	166	280	06-11-97
	5,964,291	10-12-99	Bourne, <i>et al.</i>	166	279	02-28-96
	6,024,170	02-15-00	McCabe, <i>et al.</i>	166	300	06-03-98
	6,047,772	04-11-00	Weaver, <i>et al.</i>	166	276	11-09-98
	6,123,965	09-26-00	Jacob, <i>et al.</i>	424	489	08-18-98
	6,135,987	10-24-00	Tsai, <i>et al.</i>	604	365	12-22-99
	6,169,058 B1	01-02-01	Le, <i>et al.</i>	507	222	06-05-97
	6,172,011 B1	01-09-01	Card, <i>et al.</i>	507	204	03-08-96
	6,202,751 B1	03-20-01	Chatterji, <i>et al.</i>	166	276	07-28-00
	6,209,643 B1	04-03-01	Nguyen, <i>et al.</i>	166	276	03-06-00
	6,209,646 B1	04-03-01	Reddy, <i>et al.</i>	166	300	04-21-99
	6,214,773 B1	04-10-01	Harris, <i>et al.</i>	507	271	09-29-99
	6,260,622 B1	07-17-01	Blok, <i>et al.</i>	166	305.1	12-23-98
	6,311,773 B1	11-06-01	Todd, <i>et al.</i>	166	280	01-28-00
	6,323,307 B1	11-27-01	Bigg, <i>et al.</i>	528	354	08-16-95
	6,328,105 B1	12-11-01	Betzold	166	280	07-14-00
	6,357,527 B1	03-19-02	Norman, <i>et al.</i>	166	300	05-05-00
	6,364,945 B1	04-02-02	Chatterji, <i>et al.</i>	106	677	12-13-00
	6,390,195 B1	05-21-02	Nguyen, <i>et al.</i>	166	276	10-27-00
	6,422,314 B1	07-23-02	Todd, <i>et al.</i>	166	312	08-01-00
	6,454,003 B1	09-24-02	Chang, <i>et al.</i>	166	270	06-14-00

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.



PTO-1449  <b>Information Disclosure Citation in an Application</b>	Application No. 10/783,207	Applicant(s) Jim B. Surjaatmadja, et al	
	Docket Number 2003-IP-012367U1	Group Art Unit	Filing Date 02/20/2004

### U.S. PATENT DOCUMENTS

		DOCUMENT NO.	ISSUE/PUB. DATE	NAME	CLASS	SUBCLASS	FILING DATE
		6,485,947 B1	11-26-02	Rajgarhia, <i>et al.</i>	435	139	05-19-00
		6,488,763 B2	12-03-02	Brothers, <i>et al.</i>	106	692	10-05-01
		6,494,263 B2	12-17-02	Todd	166	312	01-09-01
		6,508,305 B1	01-21-03	Brannon, <i>et al.</i>	166	293	09-14-00
		6,527,051 B1	03-04-03	Reddy, <i>et al.</i>	166	300	07-12-02
		6,554,071 B1	04-29-03	Reddy, <i>et al.</i>	166	293	07-12-02
		6,569,814 B1	05-27-03	Brady, <i>et al.</i>	507	201	04-20-00
		6,667,279 B1	12-23-03	Hessert, <i>et al.</i>	507	225	11-13-97
		6,681,856 B1	01-27-04	Chatterji, <i>et al.</i>	166	294	05-16-03
		US 2004/0055747A1	03-25-04	Lee	166	278	09-20-02
		US 2004/0106525A1	06-03-04	Willbert, <i>et al.</i>	507	200	10-17-03
		US 2004/0138068A1	07-15-04	Rimmer, <i>et al.</i>	507	100	12-19-03
		US 2004/0152601A1	08-05-04	Still, <i>et al.</i>	507	100	10-27-03
		US 2004/0152602A1	08-05-04	Boles	507	100	01-15-04

### FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							Yes	No
		WO 99/27229	06-03-99	PCT	E21B	43/26	X	
		WO 01/87797 A1	11-22-01	PCT	C04B	28/02	X	
		WO 03/027431 A2	04-03-03	PCT	E21B	-	X	
		WO 03/027431 A3	04-03-03	PCT	E21B	43/26	X	
		EP 0 510 762 A2	04-16-92	Europe	C11D	17/00	X	

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

PTO-1449  <b>Information Disclosure Citation in an Application</b>	Application No. 10/783,207	Applicant(s) Jim B. Surjaatmadja, et al	
	Docket Number 2003-IP-012367U1	Group Art Unit	Filing Date 02/20/2004

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							Yes	No

**NON-PATENT DOCUMENTS**

		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	
		Cantu, et al, <i>Laboratory and Field Evaluation of a Combined Fluid-Loss-Control Additive and Gel Breaker for Fracturing Fluids</i> , SPE 18211, Society of Petroleum Engineers, 1990	
		Albertsson, et al, <i>Aliphatic Polyesters: Synthesis, Properties and Applications</i> , Advances in Polymer Science, Vol. 157, 2002	
		Dechy-Cabaret, et al, <i>Controlled Ring-Opening Polymerization of Lactide and Glycolide</i> , American Chemical Society, Chemical Reviews, A-Z, AA-AD, received 2004	
		Funkhouser, et al, <i>Synthetic Polymer Fracturing Fluid for High-Temperature Applications</i> , SPE 80236, Society of Petroleum Engineers, 2003	
		<i>Chelating Agents</i> , Encyclopedia of Chemical Technology, Vol. 5 (764-795)	
		Vichaibun, et al, <i>A New Assay for the Enzymatic Degradation of Polylactic Acid, Short Report</i> , ScienceAsia, Vol. 29, 2003 (pp. 297-300)	
		Blauch, et al, <i>Aqueous Tackifier and Methods of Controlling Particulates</i> , Patent Application No. 10/864,061, filed 06-09-04	
		Blauch, et al, <i>Aqueous-Based Tackifier Fluids and Methods of Use</i> , Patent Application No. 10/864,618, filed 06-09-04	

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.